



2841#
2532
3
RAB
3/6/03

PATENT

I hereby certify that this correspondence is being deposited with the United States Postal Service on the date set forth below as First Class Mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231

Date of Signature
and Deposit: 2/12/03

Michael A. Jaskolski
Michael A. Jaskolski, Reg. No. 37,551

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Carlos de la Huerga

Client Docket No. TC 2800 MAIL ROOM

Group No.: 2532

Serial No.: 09/832,770

Examiner:

Filed: 04-11-2001

For: Interactive Medication Container

Attorney: 250591.90279

RECEIVED

FEB 20 2003

TC 2800 MAIL ROOM

INFORMATION DISCLOSURE STATEMENT PURSUANT TO 37 CFR 1.97

Commissioner for Patents
Washington, D.C. 20231

Sir:

The following are submitted in the above-identified application in compliance with 37 CFR 1.97 and 1.98:

- ☒ A list of documents on form PTO-1449 together with copies of each identified document;
- ☐ A translation or a concise explanation of each non English language document is enclosed herewith.

This paper is submitted in accordance with:

- ☒ 37 CFR 1.97(b) [within 3 months of filing or prior to 1st Office Action]
- ☐ 37 CFR 1.97(c) [before Final Office Action or Notice of Allowance]; and
- ☐ The required certification made under the heading "Certification" below;
or

☐ The \$_____ fee specified in 37 CFR §1.17(p) for submission of this Information Disclosure Statement is authorized under the heading "Authorization to Charge Fees" below.

☐ 37 CFR §1.97(d) [before issue fee payment]; and

a) This is a petition for consideration of the subject Information Disclosure Statement. The petition fee \$_____ required by 37 CFR 1.17(i)(1) is authorized under the heading "Authorization to Charge Fees." (Direct this letter to "Attention PETITIONS EXAMINER" and if applicable include batch locator information: e.g. "Allowed Files, Batch _____, Date of Allowance _____"), and

b) The required Certification is stated under the heading "Certification" below.

☐ Certification

☐ Each item of information contained in this Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement; or

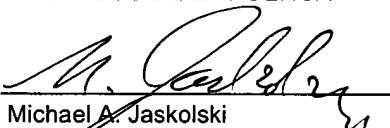
☐ No item of information contained in this statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the person signing this document after making reasonable inquiry, was known to any individual designated in 37 CFR 1.56(c) more than three (3) months prior to the filing of this Statement.

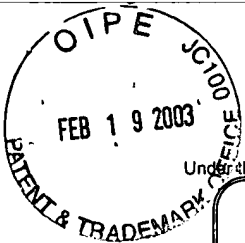
☒ Authorization to Charge Fees

Please charge all applicable fees associated with the submittal of this Information Disclosure Statement to Deposit Account 17-0055. An original and two (2) copies of this document are enclosed.

Respectfully submitted,
CARLOS DE LA HUERGA

By: _____


Michael A. Jaskolski
Attorney for Applicant
Reg No.: 37,551
Quarles & Brady LLP
411 East Wisconsin Ave.
Milwaukee, WI 53202



Substitute for form 1449A/PTO			C m p l t i f K n o w n		
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)			Application Number	09/832,770	
			Filing Date	4-11-01	
			First Named Inventor	Carlos de la Huerga	
			Group Art Unit	2632	
			Examiner Name		
Sheet	1	of	5	Attorney Docket Number	250591.90279

U.S. PATENT DOCUMENTS						
Examiner Initials ¹	Cite No. ¹	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code ² (if known)			
	1	Re35743		Pearson	03-17-98	
	2	3,227,127		Gayle	01-04-66	
	3	3,762,601		McLaughlin	10-02-73	
	4	4,207,992		Brown	06-17-80	
	5	4,360,125		Martindale et al	11-23-82	
	6	4,437,579		Obland	03-20-84	
	7	4,483,626		Noble	11-20-84	
	8	4,504,153		Schollmeyer et al	03-12-85	
	9	4,526,474		Simon	07-02-85	
	10	4,573,606		Lewis et al	03-04-86	
	11	4,616,316		Hanpeter et al	10-07-86	
	12	4,617,557		Gordon	10-14-86	
	13	4,626,105		Miller	12-02-86	
	14	4,664,289		Shimizu et al	03-12-87	
	15	4,674,651		Scidmore et al	06-23-87	
	16	4,674,652		Aten et al	06-23-87	
	17	4,695,954		Rose et al	09-22-87	
	18	4,725,997		Urquhart et al	02-16-88	
	19	4,733,797		Haber	03-29-88	
	20	4,811,764		McLaughlin	03-14-89	

FOREIGN PATENT DOCUMENTS								
Examiner Initials [*]	Cite No. ¹	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Office ³	Number ⁴	Kind Code ⁵ (if known)				

Examiner Signature		Date Considered	
-----------------------	--	--------------------	--

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number. ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U. S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

Please type a plus sign (+) inside this box

+

PTO/SB/08A (08-00)

Approved for use through 10/31/2002. OMB 0651-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet 2

of 5

Complete if Known

Application Number	09/832,770
Filing Date	4-11-01
First Named Inventor	Carlos de la Huerga
Group Art Unit	2632
Examiner Name	
Attorney Docket Number	250591.90279

U.S. PATENT DOCUMENTS

Examiner Initials ¹	Cite No. ¹	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code ² (if known)			
	21	4,911,327		Shepherd et al	03-27-90	
	22	4,939,705		Hamilton et al	07-03-90	
	23	4,953,745		Rowlett Jr.	09-04-90	
	24	4,971,221		Urquhart et al	11-20-90	
	25	4,984,709		Weinstein	01-15-91	
	26	5,047,948		Turner	09-10-91	
	27	5,088,056		McIntosh et al	02-11-92	
	28	5,099,463		Lloyd et al	03-24-92	
	29	5,176,285		Shaw	01-05-93	
	30	5,181,189		Hafner	01-19-93	
	31	5,233,571		Wirtschafter	08-03-93	
	32	5,273,318		Nakayama et al	12-28-92	
	33	5,289,157		Rudick et al	02-22-94	
	34	5,313,439		Albeck	05-17-94	
	35	5,347,453		Maestre	09-13-94	
	36	5,392,952		Bowden	02-28-95	
	37	5,472,113		Shaw	12-05-95	
	38	5,609,268		Shaw	03-11-97	
	39	5,623,242		Dawson Jr et al	04-22-97	
	40	5,745,366		Higham et al	04-28-98	

FOREIGN PATENT DOCUMENTS

Examiner Initials ¹	Cite No. ¹	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Office ³	Number ⁴	Kind Code ⁵ (if known)				

Examiner
Signature

Date
Considered

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number. ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U. S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

5370561

Substitute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet | 3

3

5

Attorney Docket Number

250591.90279

U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code ² (if known)			
	41	5,852,590		de la Huerqa	12-22-98	
	42	6,324,123		Durso	11-27-01	
	43	6,317,390		Cardoza	11-13-01	
	44	6,129,587		Ahlin et al	04-17-01	
	45	6,169,707		Newland	02-02-01	
	46	5,945,651		Chorosinski et al	08-31-99	
	47	5,852,911		Yuyama et al	12-29-98	
	48	5,593,267		McDonald et al	01-14-97	
	49	5,431,299		Brewer et al	07-11-95	
	50	5,412,372		Parkhurst et al	05-02-95	
	51	5,348,061		Riley et al	09-20-94	
	52	5,337,919		Spaulding et al	08-16-94	
	53	4,967,928		Carter	11-06-90	
	54	4,546,901		Buttarazzi	10-15-85	
	55	4,694,284		Leveille et al	9/1987	
	56	4,885,571		Pauley et al	12/1989	
	57	4,952,928		Carroll et al	8/1990	
	58	4,973,944		Maletta	11/1990	
	59	4,980,671		McCurdy	12/1990	
	60	5,012,229		Lennon et al	4/1991	

FOREIGN PATENT DOCUMENTS

[illegible]

Examiner
Signature

Date
Considered

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number. ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U. S. Patent and Trademark Office, Washington, DC 20231. **DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO:** Assistant Commissioner for Patents, Washington, DC 20231.



+

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE
to a collection of information unless it contains a valid OMB control number.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet | 4

4

5

Application Number	09/832.770
--------------------	------------

Filing Date	4-11-01
--------------------	---------

First Named Inventor	Carlos de la Huerqa
----------------------	---------------------

Group Art Unit	2632
----------------	------

Examiner Name

Attorney Docket Number	250591.90279
------------------------	--------------

U.S. PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code ² (if known)			
	61	5,032,823		Bower et al	7/1991	
	62	5,075,670		Bower et al	12/1991	
	63	5,115,223		Moody	5/1992	
	64	5,493,805		Pennuela et al	2/1996	
	65	5,499,626		Willham et al	3/1996	
	66	5,512,879		Stokes	4/1996	
	67	5,512,880		Abrams et al	4/1996	
	68	5,594,786		Chaco et al	1/1997	
	69	5,609,716		Mosher et al	3/1997	
	70	5,612,675		Jennings et al	3/1997	
	71	5,621,384		Crimmins et al	4/1997	
	72	5,627,520		Grobbs et al	5/1997	
	73	5,742,233		Hoffman et al	4/1998	
	74	5,768,813		Reboul et al	6/1998	
	75	5,771,001		Cobb	6/1998	
	76	5,793,290		Eagleson et al	8/1998	
	77	5,525,969		LaDue	6/1996	
	78	5,541,580		Gerston et al	7/1996	
	79	5,504,474		Libman et al	4/1996	
	80	5,883,576		de la Huerqa	3/1999	

[illegible]

Examiner
Signature

Date	
Considered	

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number. ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U. S. Patent and Trademark Office, Washington, DC 20231. **DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO:** Assistant Commissioner for Patents, Washington, DC 20231.

5370561



+

+

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE
o a collection of information unless it contains a valid OMB control number.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Compleat if Known

Application Number	09/832,770
Filing Date	4-11-01
First Named Inventor	Carlos de la Hueraga
Group Art Unit	2632
Examiner Name	
Attorney Docket Number	250591 90279

(use as many sheets as necessary)

Sheet	5	of	5	Attorney Docket Number	250591.90279
-------	---	----	---	------------------------	--------------

[illegible][illegible]Date
Considered

¹ Unique citation designation number. ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U. S. Patent and Trademark Office, Washington, DC 20231. **DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO:** Assistant Commissioner for Patents, Washington, DC 20231.

5370639